



IFC6

S/N 10/676,231

PATENTIN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Stephen R. Carter et al.

Examiner: Unknown

Serial No.: 10/676,231

Group Art Unit: 2171

Filed: September 30, 2003

Docket: 1565.060US1

Title: POLICY AND ATTRIBUTE BASED ACCESS TO A RESOURCESUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

MS Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

In compliance with the duty imposed by 37 C.F.R. § 1.56, and in accordance with 37 C.F.R. §§ 1.97 *et. seq.*, the enclosed materials are brought to the attention of the Examiner for consideration in connection with the above-identified patent application. Applicants respectfully request that this Supplemental Information Disclosure Statement be entered and the documents listed on the attached Form 1449 be considered by the Examiner and made of record. Pursuant to the provisions of MPEP 609, Applicants request that a copy of the 1449 form, initialed as being considered by the Examiner, be returned to the Applicants with the next official communication.

Some of the attached documents were discovered as a result of a Search Report in Applicants' corresponding European patent application. Enclosed for the Examiner's information is a copy of the cited documents and the Search Report.

Pursuant to 37 C.F.R. § 1.97(b), it is believed that no fee or statement is required with the Supplemental Information Disclosure Statement. However, if an Office Action on the merits has been mailed, the Commissioner is hereby authorized to charge the required fees to Deposit Account No. 19-0743 in order to have this Supplemental Information Disclosure Statement considered.

Pursuant to 37 C.F.R. 1.98(a)(2), Applicants believe that copies of cited U.S. Patents and Published Applications are no longer required to be provided to the Office. Notification of this change was provided in the United States Patent and Trademark Office OG Notices dated October 12, 2004. Thus, Applicants have not included copies of any US Patents or Published Applications cited with this submission. Should the Office require copies to be provided, Applicants respectfully request that notice of such requirement be directed to Applicants' below-signed representative. Applicants acknowledge the requirement to submit copies of foreign patent documents and non-patent literature in accordance with 37 C.F.R. 1.98(a)(2).

The Examiner is invited to contact the Applicants' Representative at the below-listed telephone number if there are any questions regarding this communication.

Respectfully submitted,

STEPHEN R. CARTER ET AL.

By their Representatives,

SCHWEGMAN, LUNDBERG, WOESSNER & KLUTH, P.A.
P.O. Box 2938
Minneapolis, MN 55402
(513) 942-0224

Date 3-24-05

By Joseph P. Mehrle
Joseph P. Mehrle
Reg. No. 45,535

CERTIFICATE UNDER 37 CFR 1.8: The undersigned hereby certifies that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail, in an envelope addressed to: MS Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on this 24 day of March, 2005.

Peter Rebuffoni
Name

Peter Rebuffoni
Signature



MAR 28 2005

S/N 10/676,231

PATENTIN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:	Stephen R. Carter et al.	Examiner:	Unknown
Serial No.:	10/676,231	Group Art Unit:	2171
Filed:	September 30, 2003	Docket:	1565.060US1
Title:	POLICY AND ATTRIBUTE BASED ACCESS TO A RESOURCE		

COMMUNICATION CONCERNING RELATED APPLICATIONS

MS Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Applicants would like to bring to the Examiner's attention the following related application(s) in the above-identified patent application:

<u>Serial/Patent No.</u>	<u>Filing Date</u>	<u>Attorney Docket</u>	<u>Title</u>
10/765,523	January 27, 2004	1565.070US1	TECHNIQUES FOR DYNAMICALLY ESTABLISHING AND MANAGING AUTHENTICATION AND TRUST RELATIONSHIPS
10/813,505	March 30, 2004	1565.073US1	DISTRIBUTED DYNAMIC SECURITY FOR DOCUMENT COLLABORATION

Continuations and divisionals may be later filed on the cases listed above, or cited to the Examiner in any previous Communication Concerning Related Applications.

Applicants request that the Examiner review all continuations and divisionals of the above-listed or previously-cited patent applications before allowing the claims of the present patent application.

Respectfully submitted,

STEPHEN R. CARTER ET AL.

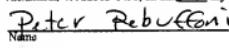
By Applicants' Representatives,

SCHWEGMAN, LUNDBERG, WOESSNER & KLUTH, P.A.
P.O. Box 2938
Minneapolis, MN 55402
(513) 942-0224

Date 3-24-05

By 
Joseph P. Mehrle
Reg. No. 45,535

CERTIFICATE UNDER 37 CFR 1.8: The undersigned hereby certifies that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail, in an envelope addressed to: MS Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on this 24, day of March, 2005.


Peter Rebutton
Name


Peter Rebutton
Signature

Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(use as many sheets as necessary)



Sheet 1 of 1

Under the Paperwork Reduction Act of 1995, no person is required to respond to a collection of information unless it contains a valid OMB control number

Complete if Known	
Application Number	10/676,231
Filing Date	September 30, 2003
First Named Inventor	Carter, Stephen
Group Art Unit	2171
Examiner Name	Unknown

Attorney Docket No: 1565.060US1

US PATENT DOCUMENTS

Examiner Initials*	USP Document Number	Publication Date	Name of Patentee or Applicant of cited Document	Filing Date if Appropriate
/DB/	US-2002/0052798A1	05/02/2002	Nishikado, Takashi, et al.	08/17/2001
/DB/	US-2002/0095571A1	07/18/2002	Bradee, Robert L.	01/18/2001
/DB/	US-2003/0014631A1	01/16/2003	Sprague, Steven	07/16/2001
/DB/	US-5,737,523	04/07/1998	Callaghan, Brent, et al.	03/04/1996
/DB/	US-5,787,175	07/28/1998	Carter, Stephen R.	10/23/1995
/DB/	US-5,848,232	12/08/1998	Lermuzeaux, Jean-Marc, et al.	12/26/1996
/DB/	US-5,999,711	12/07/1999	Misra, Pradyumna K., et al.	07/18/1994
/DB/	US-6,014,135	01/11/2000	Fernandes, Antonio M.	04/04/1997
/DB/	US-6,092,199	07/18/2000	Dutcher, David P., et al.	07/07/1997
/DB/	US-6,108,788	08/22/2000	Moses, Timothy, et al.	12/08/2000
/DB/	US-6,119,230	09/12/2000	Carter, Stephen R.	10/01/1997
/DB/	US-6,230,271	05/08/2001	Wadow, T. A., et al.	01/20/1998
/DB/	US-6,263,446	07/17/2001	Kausik, Balas, et al.	11/19/1998
/DB/	US-6,343,361	01/29/2002	Nendell, Donald, et al.	11/13/1998
/DB/	US-6,430,575	08/06/2002	Dourish, J. Paul, et al.	09/10/1999
/DB/	US-6,515,316	02/04/2003	Wojtowicz, Michael, et al.	07/14/2000

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Foreign Document No	Publication Date	Name of Patentee or Applicant of cited Document	T ²
--------------------	---------------------	------------------	---	----------------

OTHER DOCUMENTS -- NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No ¹	include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ⁴
/DB/		"EP Search Report", Received in related matter EP 04104137.7, (March 1, 2005), 9 pgs.	
/DB/		AARTS, ROBERT, et al., "Liberty ID-FF Bindings and Profiles Specification", Liberty Alliance Project, Version 1.2, (2003),1-61	
/DB/		AARTS, ROBERT, et al., "Liberty ID-FF Protocols and Schema Specification", Liberty Alliance Project, Version 1.2, (2003),1-46	
/DB/		HODGES, JEFF, et al., "Oasis SSTS: SAML Security Considerations", Internet Article, 'Onlinet, www.oasis-open.org, retrieved 02/07/2005, Sections 5.2.1.1 and 5.4.2, XP002316601,(November 14, 2001),1-22	
/DB/		MADSEN, PAUL, et al., "Liberty Metadata Description and Discovery Specification", Liberty Alliance Project, Version 1.0, (2003),1-33	

EXAMINER /Douglas Blair/

DATE CONSIDERED 07/16/2007

Substitute Disclosure Statement Form (PTO-1449)

* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP-008. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional) 2 Applicant is to place a check mark here if English language Translation is attached



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Stephen R. Carter et al.
Title: POLICY AND ATTRIBUTE BASED ACCESS TO A RESOURCE
Docket No.: 1565.060US1 Serial No.: 10/676,231
Filed: September 30, 2003 Due Date: N/A
Examiner: Unknown Group Art Unit: 2171

MS Amendment

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

We are transmitting herewith the following attached items (as indicated with an "X"):

- A Supplemental Information Disclosure Statement (2 pgs.), Form 1449 (1 pg.), and copies of 5 cited documents.
- A Communication Concerning Related Applications (2 pgs.).
- A return postcard.

Please consider this a PETITION FOR EXTENSION OF TIME for sufficient number of months to enter these papers and please charge any additional fees or credit overpayment to Deposit Account No. 19-0743.

SCHWEGMAN, LUNDBERG, WOESSNER & KLUTH, P.A.
Customer Number 21186

By: *Joseph P. Mehrle*
Atty: Joseph P. Mehrle
Reg. No. 4,535

CERTIFICATE UNDER 37 CFR 1.8: The undersigned hereby certifies that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail, in an envelope addressed to: MS Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on this 29 day of March, 2005.

Peter Rebuffini
Peter Rebuffini

Pete Pal
Signature